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PATENT

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: CROSS et al. Confirmation No. 4838
Appl. No.: 10/078,657 Group: 1723
Filed: February 21, 2002 Examiner: UNKNOWN
For: FILTER ELEMENT

L E T T E R

Assistant Commissioner for Patents
Washington, DC 20231

Date: June 19, 2002

Sir:

Under the provisions of 35 U.S.C. § 119 and 37 C.F.R. § 1.55(a), the applicant(s) hereby claim(s) the right of priority based on the following application(s):

<u>Country</u>	<u>Application No.</u>	<u>Filed</u>
UNITED KINGDOM	0104486.6	February 23, 2001

A certified copy of the above-noted application(s) is(are) attached hereto.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

YOUNG & THOMPSON

By 

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Attachment

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Concept House
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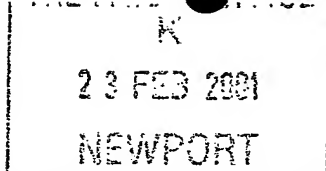
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The Patent Office

Cardiff Road
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1. Your reference

BKCD/NS/END.10

2. Patent number
(The

0104486.6

23 FEB 2001

3. Full name, address and postcode of the or of each applicant (*underline all surnames*)

Cross Manufacturing (1938) Limited
Midford Road
Bath
BA2 5RR

Patents ADP number (*if you know it*)

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

392514001

4. Title of the invention

An Improved Filter Element

5. Name of your agent (*if you have one*)

"Address for service" in the United Kingdom to which all correspondence should be sent (*including the postcode*)

Wynne-Jones, Laine & James
22 Rodney Road
Cheltenham
GL50 1JJ

Patents ADP number (*if you know it*)

1792001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (*if you know it*) the or each application number

Country

Priority application number
(*if you know it*)

Date of filing
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7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
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8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (*Answer 'Yes' if:*

Yes

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
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Description	12	✓
Claim(s)	2	✓
Abstract	0	
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Priority documents

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Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

1 ✓

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature

Date

Wynne Jones, Laine & James

22.02.01

12. Name and daytime telephone number of person to contact in the United Kingdom

Mr. B. Dunlop

01242 515807

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AN IMPROVED FILTER ELEMENT

This invention relates to fluid filter elements of the type which include a spring positionable in the fluid flow path such that the fluid can be forced to pass between the coils of the spring. In the assembled condition, the coils of such springs are held together in a controlled manner such that the filter gap between adjacent coils is of a known small width, typically a few tens of micrometers. The fluid path gap is defined, typically, by regularly spaced projections provided on one side of a rectangular section wire coil.

In a known arrangement the coil is wound to form an open coiled spring and then compressed between end constraints so that the projections on one side of the rectangular section wire coil are in contact with the plain side of the adjacent coil.

It will be understood, in the context of this specification, that the term "fluid" refers to gases, vapours and substantially incompressible liquids.

A back flushing facility is often provided in order to clean out the spaces between the coils. In order to assist in freeing the caked particulate mass which accumulates between the coils of the filter element, provision is made to allow the spring coils to extend by a small amount so that a further small gap is generated between each coil's

projections and the plain side of the adjacent coil. During the back flushing operation this small extra gap, in addition to providing an enlarged flow path for the flushing fluid, allows the coils of the spring to vibrate, being stimulated by the hydro-dynamic flow forces, the vibration further assisting in the cleaning operation by freeing particulate matter adhering to the coil profile.

A typical existing design of filter element is described in WO-A-91/02578. Here the spring filter element is wound in the form of an open coiled spring but with the coil to coil pitch varying from one end of the coil stack to the other end. The spring is installed with the coil axis vertical and the longest coil to coil pitches situated at the lower end. If the spring stack is now compressed to its slightly extended length in the back flushing mode and the coil to coil pitch variation has been correctly chosen then each individual coil in the stack will have been distorted axially to such an extent that it will just support the net weight of all the coils above with a coil to coil gap which is substantially constant over the stack length.

Whilst the design disclosed in WO-A-91/02578 produces a satisfactory installed filter element the open coil pitches of varying length produce an uncompressed filter element which is, typically, five or seven times the compressed length and is difficult to handle and store

without damage to the coils. Also, because the natural state of the spring element is the open coil state the installed spring element has to be provided with separate spring means in order to hold the stack in its close coiled position but allow the stack to increase in height to reach the stack length needed for the back flushing mode. A further problem can arise because the relatively high ratio of free length to coil diameter will, in most cases, cause the spring to go beyond the ratio value when buckling of the coil stack occurs. This means that extra axial location of the coils, in addition to the end locations will be needed in most cases.

This invention consists in a spring filter element having a plurality of coils along its length, the coils bearing projections for engaging adjacent coils to define a minimum filter gap between the coils characterised in that the coils are pre-tensioned with the degree of pre-tension varied progressively along the length of the filter such that any part of the filter, in one vertical orientation of the element, supports the dependent remainder of the filter to maintain a closed coil state during normal use and to allow substantially equal opening of the filter gaps between the coils when the element is extended and submerged in the fluid.

The pre-tension may be varied continuously or in a stepped fashion. The latter case is acceptable provided

the axial vibrating set up during the back flushing operations are still able to cause the plurality of coils to separate from the adjacent coils.

Preferably the variable coil to coil tension force is such that, when the stack is placed in a vertical position and a force is applied to open the coil stack to its back flushing length, the tension force produced by any individual active coil in the stack will be just sufficient to support the effective or net weight of all the remaining coils in the stack which are below the said individual active coil and produce a deflection which is substantially equal to the difference between the closed coil length of the stack and the extended for back flushing length of the stack divided by the number of active coils in the stack. This deflection will therefore equal the amount by which the controlled coil to coil gap has increased at the start of a back flushing operation. The term "active coil" is used in this context to differentiate from the one, two or three end coils which may be located on the end fixtures and therefore unable to deflect under load. Reference is made to the effective or net weight, because when the filter is immersed in fluid, the fluid will to an extent support the spring. Thus with a stainless steel coil immersed in water the weight that needs to be supported may be reduced by about 12%.

In addition to the aforementioned problems of handling

and installing the existing open coil spring element, the close coil spring filter element design exhibits further advantages in manufacture which will result in a more economic solution to the problem of precision filtration.

5 Firstly, it has been found that a heat treatment operation is necessary in the manufacture of open coils in order to prevent subsequent distortion of the coils after removal from the winding mandrels. In contrast with the more stable form of the close coiled element it has been
10 found that, with the majority of metal alloys used in the manufacture of filter elements, the heat treatment operation is not required.

 Secondly, with the existing open coil, variable pitch design of spring filter element, the variable pitch winding
15 mandrel places a constraint on the diameter and length of the installed filter element. The use of this bespoke tooling makes minor changes to element size required to take account of installed coil to coil gaps and variable material properties of the filter element difficult and
20 expensive to accommodate in a production organisation. It has been found considerably easier to deal with these minor physical changes to the filter element sizes when the manufacturing process has been set up for the production of close coiled spring filter elements.

25 A further manufacturing difficulty can arise in effecting the sudden change in coil pitch required at the

lower end of the open coiled, variable pitch stack. This is because it is necessary to have two or three non-active, close pitched coils at each end of the stack to form a locating seating or abutment when the filter element is installed in its housing. In the new design all the coils apart from the end coils or, if desired, a group of non-active coils, are pre-tensioned to each other so there is no need to make special arrangements for seating the end coils apart from ensuring that the end coil or coils in the vertically orientated stack is/are secured axially to the top and bottom abutments.

The existing open coiled, variable pitch spring element requires an additional spring means to hold the stack in its close coiled state as well as guidance means to prevent the coil stack from buckling. In the new design of pre-tensioned close coiled element the separate spring means may not be required and axial guidance of the coil stack may only be required in certain installations where hydrodynamic forces or mechanical vibration of the plant is liable to set up sympathetic radial vibrations in the coil stack.

From another aspect the invention consists in a spring filter element in which the coils forming the element can be extended by a defined amount the natural state of the element is in the form of a close coiled spring with the individual coils so formed to provide an initial tension

force between coils characterised in that the initial tension force between adjacent coils varies from one end of the coil stack to the other end, the variable coil to coil tension being such that with the coil stack located in a fluid in one vertical position and extended by the said defined amount the tension force produced by any individual active coil in the stack is just sufficient to support the effective weight of the remaining coils in the stack which are below the said individual coil and produce a deflection which is equal to the difference between the close coiled length of the stack and the said defined extended length of the stack divided by the number of active coils in the stack.

The improvements which are the subject of this invention will now be described, by way of example, with reference to a specific embodiment which is shown in the accompanying drawings in which:-

Figure 1 shows a typical, variable pitch, prior art open coil spring filter element in its free extended state;

Figure 2 shows an example of a new design of pre-tensioned close coiled spring filter element with an illustration of the unstressed shape which a single coil would take up if removed from near the installed top end of the stack and, for comparison, a corresponding shape of a single unstressed coil if removed from near the installed bottom end of the stack; and

Figure 3 shows a section through the element of Figure 2 with the bottom end fitted into a filter housing and the upper end provided with a sealing cap which contacts an upper region of the filter housing when the spring filter element extends during a back flushing operation.

Referring to Figure 1, the prior art filter element 1 is formed from wire 2 wound into a coil spring shape, the wire being typically of rectangular section with the shorter sides of the rectangular section being parallel to the spring axis. On one long side of the wire section are formed equally spaced projections 3, which define the gap between adjacent coils when the spring is fully compressed. For the purpose of drawing clarity, the height of the projections 3 above the wire section has been shown exaggerated: in practice this height will be chosen within the range ten to four hundred micrometres depending on the degree of filtration required.

At each end of the stack can be, typically, two or three close coiled turns 4 and 5 of the wire which are used to provide a location and seating region. Some or all of the projections may be removed from these end regions of the coil stack. The remaining length of the spring is wound with the coil to coil pitch varying, the pitch being smallest at the end 6 and steadily increasing to the largest coil to coil pitch at the end 7. When the filter element is installed with its axis vertical, the end 7 is

positioned at the lower end so that the largest pitch coil is supporting the remaining coils in the stack.

5 Provided that the pitch starting amplitude and the pitch variation are correctly chosen when the coil stack is installed vertically and is allowed to extend from its fully compressed length by the small amount needed for a back flushing operation, each coil in the stack will take up a position at a substantially constant pitch from the adjacent coils.

10 Referring to Figure 2, one example of the new design of pre-tensioned close pitch spring filter element 8 is shown in its natural state with all individual coils in close contact with each other. The pre-tensioned force, however, is varied during the winding operation such that
15 the active coils 9 adjacent to the installed top end of the filter element have the greatest pre-tensioned force because these coils have to support the effective or net weight of all the remaining active coils below during a back flushing operation.

20 The pre-tensioned force on each coil is successively reduced until the installed bottom end coils 10 are reached where the force is a minimum. In order to illustrate the variation in the coil pre-tensioned force employed when winding the stack Figure 2 also shows the helical shapes 11
25 and 12 which an active coil cut out from the corresponding positions 9 and 10 in the stack will take up when the pre-

tensioned forces are released.

The new design of pre-tensioned close pitch spring filter element is shown installed in a filter housing in Figure 3. The one or two upper coils 9a in the stack are
5 anchored into a cap 13 which seals off the top end of the spring stack. Similarly, the one or two lowest coils 10a in the stack are anchored into the filter housing 14 which also contains the fluid port 15.

During a normal filtering operation the fluid flows
10 from the outside of the filter element, through the coil gaps and into the central space of the coil stack in direction of arrows 16 and leaves the housing via the exit port 15. The fluid flow is reversed during a back flushing operation - the flushing fluid now entering port 15 and
15 passing through the coil gaps in the reverse direction, leaving the filter element in directions 17.

During the back flushing operation the flow through the coil gaps generates a small back pressure which acts on the area contained by the cap 13 attached to the upper end
20 of the filter element 8. The upward force so generated is sufficient to overcome the combined effective or net weight of the coil stack plus the pre-tensioned forces in the coils causing the spring to extend until the cap 13 contacts the stop means 18 attached to the filter housing.
25 The amount 19 that the filter element extends, when divided by the number of active coils in the spring stack, will be

equal to the amount by which each coil has moved axially relative to the adjacent coils. This axial displacement may be adjusted by moving the stop means 18 in order to accommodate different sizes of filtration gaps which can vary, typically, within the range ten to four hundred micrometres.

Because the coils are no longer in contact with each other they are now free to vibrate under the action of the hydrodynamic forces set up by the back flushing fluid. This vibration of the coils combined with the increased coil gaps further assists the cleaning process.

In certain cases, particularly when dealing with low density fluids such as gases, the back pressure generated by the reverse flow of gas through the coil gaps may be insufficient to extend the filter element. In these circumstances the extension of the stack may be accomplished by mechanical or pneumatic/hydraulic means, in the latter case by designing the cap 13 as a piston operating in a cylinder formed in the top of the filter housing 14.

Thus it can be seen that the close coiled filter element spring provides an alternative improved solution to the provision of filter elements where a cleaning operation employing back flushing through the filter element is required. The close coiled filter element spring assembly consisting of the spring and end cap can further be used in

a filter housing installed vertically where the extended length of the spring required for the back flushing operation is required to produce an extended coil stack where the additional coil to coil gaps generated by the extension are substantially equal along the length of the filter element therefore allowing the coils to vibrate in response to the hydrodynamic forces set up by the fluid passing through the coil gaps.

It will be particularly noted that in many applications it will be possible to have springs in which all coils are active. Further as the springs are more stable to transverse forces cageless configurations are possible in certain applications. It will be understood that in this art the term "cage" refers to the guiding surfaces, typically in the form of thin bars, which have to be added to the inside or outside of the coil stack in order to constrain the stack so that individual coils can only move in an axial direction. The word "cageless" is used to describe an installation where the guide bars are absent. Additionally, the pre-tensioning may allow the tension spring, which is obligatory in the Figure 1 configuration, to be dispensed with.

CLAIMS

1. A spring filter element having a plurality of coils along its length, the coils bearing projections for engaging adjacent coils to define a minimum filter gap between the coils characterised in that the coils are pre-tensioned with the degree of pre-tension varied progressively along the length of the filter such that any part of the filter, in one vertical orientation of the element, supports the dependent remainder of the filter to maintain a closed coil state during normal use and to allow substantially equal opening of the filter gaps between the coils when the element is extended.

2. A filter element as claimed in Claim 1 wherein the pre-tension is varied continuously.

3. A filter element as claimed in Claim 1 wherein the pre-tension is varied in a stepped fashion.

4. An element as claimed in any one of the preceding claims characterised in that all the coils are active.

5. A spring filter element substantially as hereinbefore described with reference to Figures 2 or 3 of the drawings.

6. A spring filter element in which the coils forming the element can be extended by a defined amount the natural state of the element is in the form of a close coiled spring with the individual coils so formed to provide an initial tension force between coils characterised in that the initial tension force between adjacent coils varies

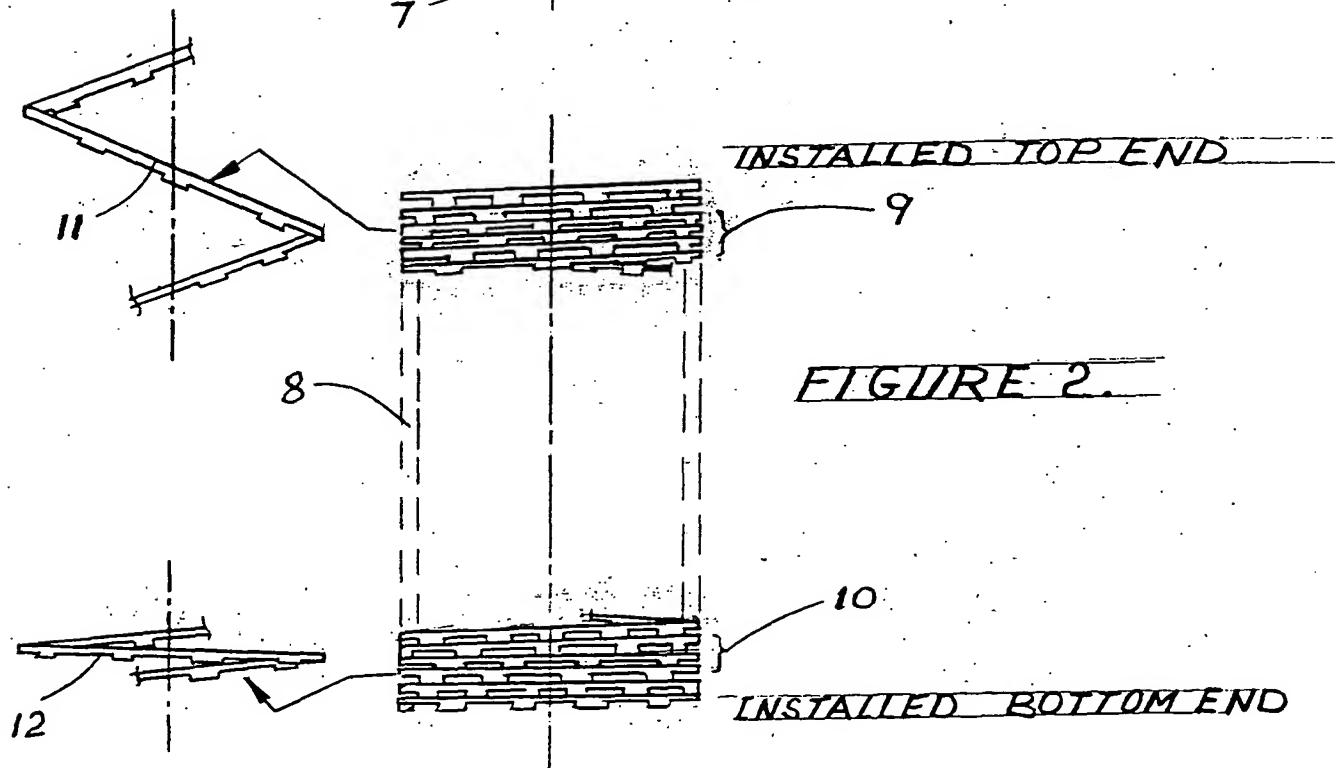
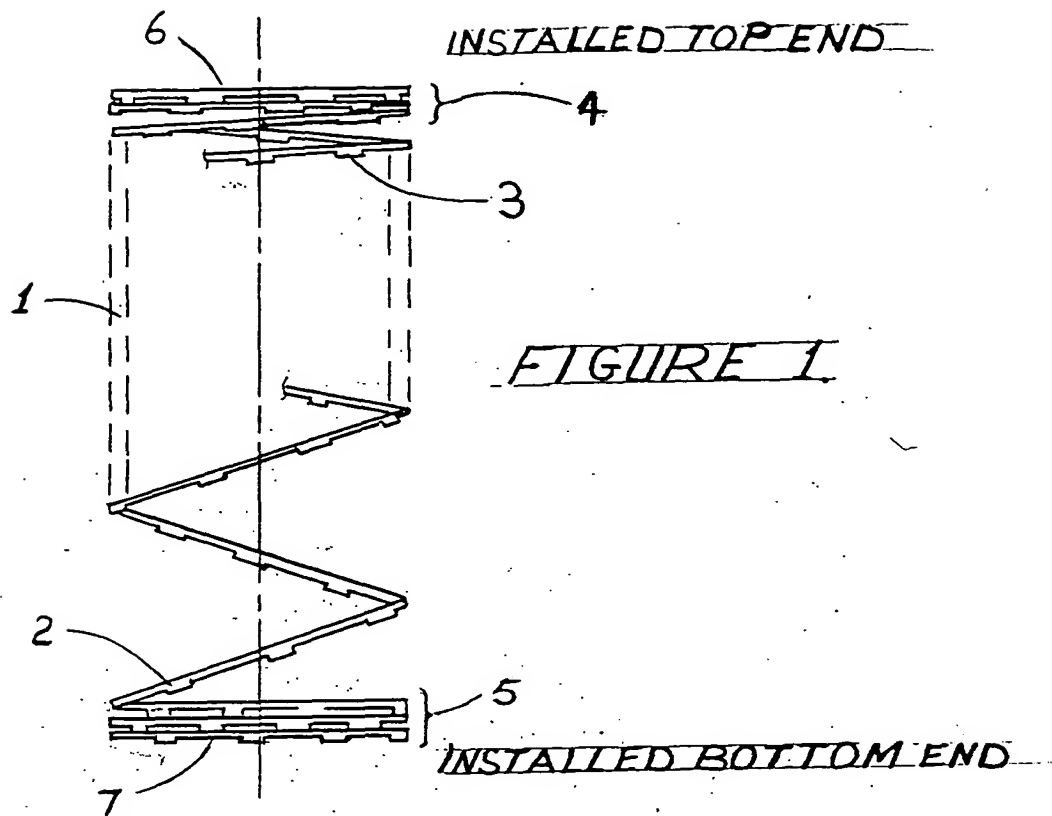
from one end of the coil stack to the other end the variable coil to coil tension being such that with the coil stack located in a fluid in one vertical position and extended by the said defined amount the tension force produced by any individual active coil in the stack is just sufficient to support the effective or net weight of the remaining coils in the stack which are below the said individual coil and produce a deflection which is equal to the difference between the close coiled length of the stack and the said defined extended length of the stack divided by the number of active coils in the stack.

7. A spring filter element as claimed in any one of the preceding claims further including a substantial closure extending across the filter element at/or adjacent one end thereof to act as a piston responsive to backwash flow conditions for extending the filter element.

8. A filter assembly including a filter element as claimed in Claims 1 to 7 characterised in that the filter element provides at least sufficient tension to maintain the minimum filter gaps during filtering flow.

9. A filter assembly as claimed in Claim 8 characterised in that the filter element is cageless.

10. A filter assembly including a cageless filter element as claimed in any one of Claims 1 to 7.



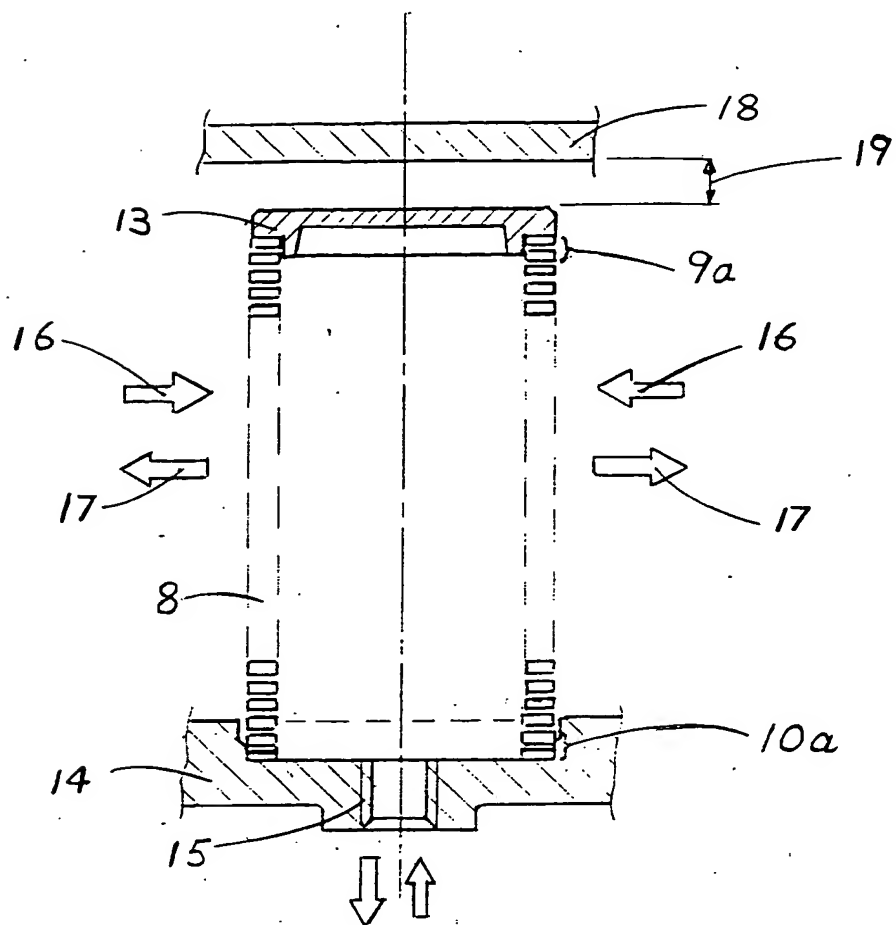


FIGURE 3.

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